"Express Mail" mailing label number: EV 314903556 US

Date of Deposit: September 30, 2005

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Shen ZHAO

Appln. No.: To Be Assigned Examiner: To Be Assigned

Filed: September 30, 2005 Art Unit: To Be Assigned

For: PROPORTIONAL ROTARY

TORQUER

Attorney Docket No: 11955/8

## **INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following references:

U.S. DOCUMENT NUMBER  Number-Kind Code (if known)	DATE	NAME
6,433,448 B1	08/13/2002	Hatton

FOREIGN DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
2001-303986 A	10/31/2001	Japan
H09-163708 A	06/20/1997	Japan
2000-232742 A	08/22/2000	Japan

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Reference A2-A4 were cited in an International Search Report issued for the present PCT application. References A2-A4 are in Japanese. Applicant is disclosing reference A1, which is the counterpart U.S. patent of reference A2. For each of references A3 and A4, Applicant is submitting an English abstract. Applicant respectfully requests the

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Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

September 30, 2005

Date

Tadashi Horie (Reg. No. 40,437)

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# JC12 Rec'd PCTATC 30 SEP 2005

FORM PTO-1449	SERIAL NO.	CASE NO.
	To Be Assigned	11955/8
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	September 30, 2005	To Be Assigned
STATEMENT		
(use several sheets if necessary)	APPLICANT(S): Shen ZHAO	

#### REFERENCE DESIGNATION

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	N	AME	CLASS/ SUBCLASS	FILING DATE
	A1	6,433,448 B1	08/13/2002	Hatton			-
	Α						
	Α	·					
	Α			1			
	Α						-
	Α						
	Α						
	Α						

## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A2	2001-303986 A	10/31/2001	Japan		US 6,433,448 B1
	A3	H09-163708 A	06/20/1997	Japan		Abstract
	A4	2000-232742 A	08/22/2000	Japan		Abstract
	Α			1		
	Α					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A
	A
	A
	A
	A

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.